

# Physics 6311: Statistical Mechanics - Homework 4

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due date: Tuesday, Sep 19, 2023

## Problem 1: Maxima of entropy (10 points)

Consider the entropy of a discrete probability distribution given in terms of the probabilities  $p_i$  ( $i = 1 \dots N$ ). Determine which  $p_i$  lead to the maximum entropy under the following constraints (Hint: Use Lagrange multipliers to enforce the constraints.):

- Normalization  $\sum_i p_i = 1$
- Normalization  $\sum_i p_i = 1$  and fixed average  $\langle a \rangle = \sum_i p_i a_i$  of a quantity  $A$  with values  $a_i$ .

## Problem 2: Shannon entropy of independent random variables (10 points)

Consider two discrete, jointly distributed random variables  $X$  and  $Y$  with values  $x_i$  and  $y_j$ , respectively. The joint probability of  $X$  having the value  $x_i$  and  $Y$  having the value  $y_j$  is  $p_{ij}$ .

- Show that if  $X$  and  $Y$  are statistically independent, then the Shannon entropy  $S_s$  of the joint distribution is the sum of the Shannon entropies of the reduced distributions of  $X$  and  $Y$
- Generalize the derivation to the case on  $M$  jointly distributed variables  $X^{(m)}$  with  $m = 1 \dots M$ .

## Problem 5: Shannon entropy of $N$ spin-1 atoms (5 points)

Consider a lattice with  $N \gg 1$  spin-1 atoms. Each atom can be in one of the three spin states  $S_z = -1, 0, +1$  with equal probability. The states of different atoms are independent of each other. Calculate the Shannon entropy of this system.

## Problem 4: Atoms on a lattice (15 points)

Consider a lattice having  $N$  regular lattice sites as well as  $N$  interstitial lattice sites. The lattice is occupied by  $N$  identical atoms. An atom on a regular site has energy 0 while an atom on an interstitial site has energy  $\epsilon$ . Use the microcanonical ensemble to analyze this system.

- Determine the number  $\Omega$  of microstates as a function of the number  $N_i$  of atoms on interstitial sites.
- Relate  $N_i$  to the energy  $E$  and compute the temperature  $T$  as a function of  $N$  and  $E$ .
- Express  $E$  as a function of  $T$  and  $N$ , and find the specific heat.