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# Quantum phase transitions and disorder: Griffiths singularities, infinite randomness, and smearing

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**Thomas Vojta**

Department of Physics, Missouri University of Science and Technology



- Phase transitions and quantum phase transitions
- Effects of impurities and defects: the common lore
  - Rare regions, Griffiths singularities and smearing
- Experiments in disordered superconductors and itinerant magnets
- Classification of weakly disordered phase transitions

# Acknowledgements

## Recent graduates



Manal  
Al Ali  
PhD '13



Hatem  
Barghathi  
PhD '16



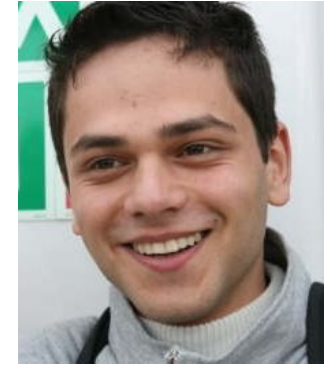
Fawaz  
Hrahsheh  
PhD '13



Chetan  
Kotabage  
PhD '11



Man Young  
Lee  
PhD '11



David  
Nozadze  
PhD '13

## Current group members



Jack  
Crewse  
(+ 3 undergraduate students)



Ahmed  
Ibrahim

## Collaborators: Experiment



Almut Schroeder  
(Kent State)



Istvan Kezsmarki  
(TU Budapest)

## Theory



Jose Hoyos  
(Sao Paulo)

# Phase transitions: the basics

## Phase transition:

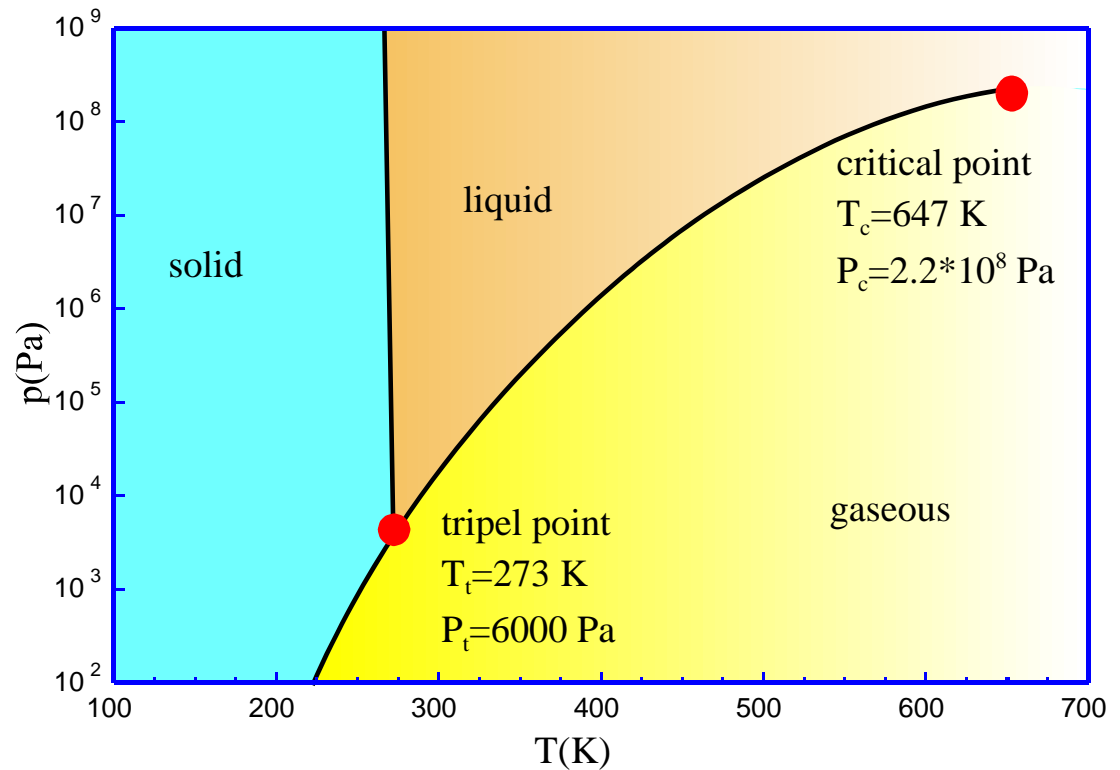
- singularity in free energy
- occurs in macroscopic systems

## 1st order transition:

- phase coexistence, latent heat
- finite correlation length and time

## Continuous transition:

- no phase coexistence, latent heat
- diverging correlations

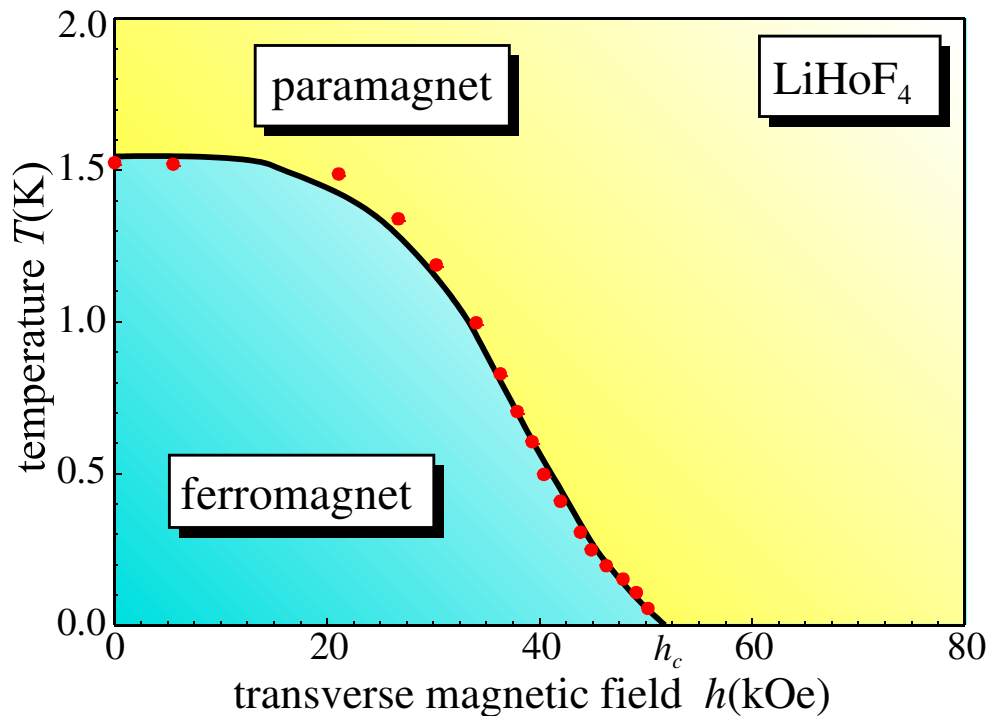


## Critical behavior:

- diverging **correlation length**  $\xi \sim |T - T_c|^{-\nu}$  and **time**  $\xi_\tau \sim \xi^z \sim |T - T_c|^{-\nu z}$
- power-laws in thermodynamic observables:  $\Delta\rho \sim |T - T_c|^\beta$ ,  $\kappa \sim |T - T_c|^{-\gamma}$
- critical exponents are **universal** = independent of microscopic details

# Quantum phase transitions

- occur at **zero temperature** as function of pressure, magnetic field, ...
- driven by **quantum** rather than thermal fluctuations



## Transverse-field Ising model

$$H = -J \sum_{\langle i,j \rangle} \sigma_i^z \sigma_j^z - h \sum_i \sigma_i^x$$

transverse magnetic field induces spin flips via  $\sigma^x = \sigma^+ + \sigma^-$

**transverse field suppresses magnetic order**

## Quantum to classical mapping:

- maps QPT in  $d$  dimensions to classical PT in  $d + 1$  dimensions
- imaginary time plays role of additional dimension



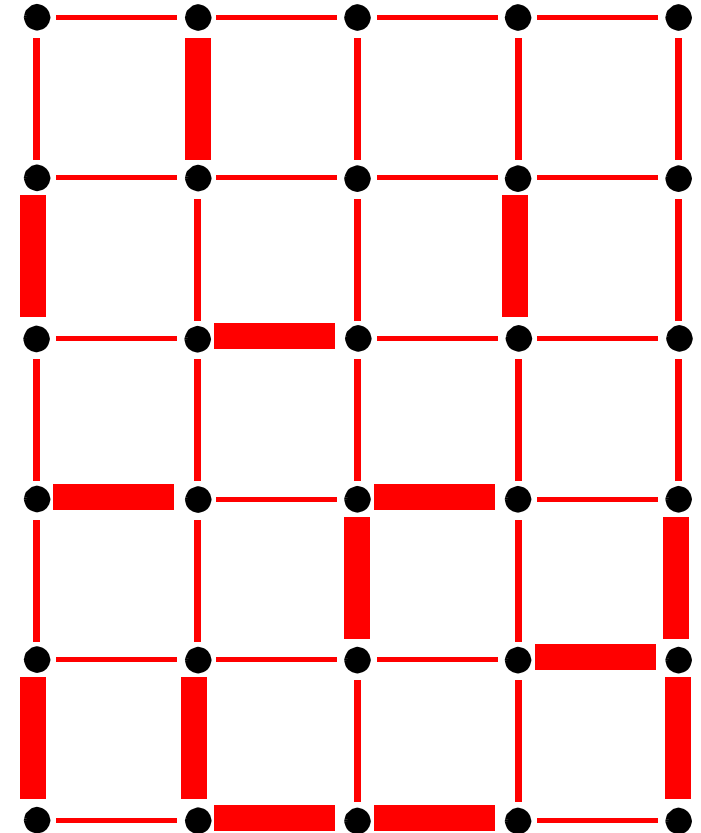
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# Phase transitions and disorder

- system undergoing **classical**, **quantum**, or **nonequilibrium** phase transition
- real systems always contain **impurities**, **defects** and other types of **disorder**

## Weak (random- $T_c$ , random-mass) disorder:

- **spatial variation** of coupling strength
- locally favors one phase over the other
- does **not** break order-parameter symmetries
- **no** change in character of the bulk phases



Will the phase transition remain sharp or become smeared?

Will the order of the transition change

Will the critical behavior change?

# Harris criterion



## Harris: stability of clean critical point

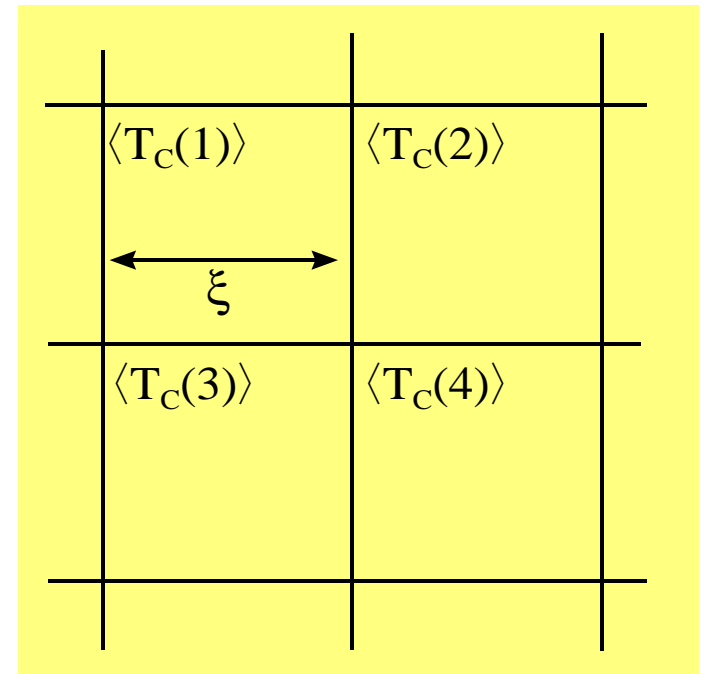
variation of average local  $T_c(i)$  between correlation volumes must be smaller than distance from global  $T_c$

**variation** of average  $T_c(i)$  in volume  $\xi^d$   
 $\Delta T_c(i) \sim \xi^{-d/2}$

**global** distance from critical point  
 $T - T_c \sim \xi^{-1/\nu}$

$\Delta T_c(i)/(T - T_c) \rightarrow 0$  at criticality

$$d\nu > 2$$



- if clean critical point fulfills Harris criterion  $\Rightarrow$  stable against disorder
- system is **asymptotically clean** as inhomogeneities vanish at large length scales
- macroscopic observables are **self-averaging**
- example: **3D classical Heisenberg magnet**:  $\nu = 0.711$

# Finite-disorder critical points

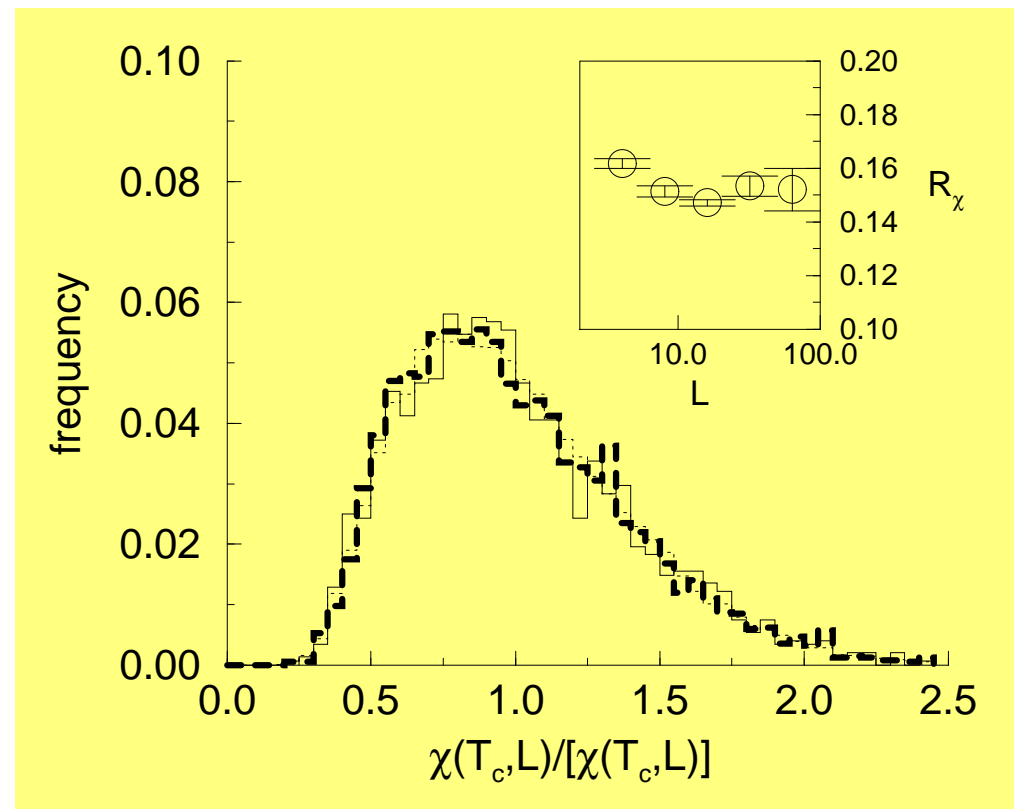
if critical point violates Harris criterion  $\Rightarrow$  unstable against disorder

## Common lore:

- new, different critical point which fulfills  $d\nu > 2$
- inhomogeneities finite at all length scales ("**finite disorder**")
- macroscopic observables **not self-averaging**
- example: **3D classical Ising magnet**: clean  $\nu = 0.627 \Rightarrow$  dirty  $\nu = 0.684$

## Distribution of critical susceptibilities of 3D dilute Ising model

(Wiseman + Domany 98)

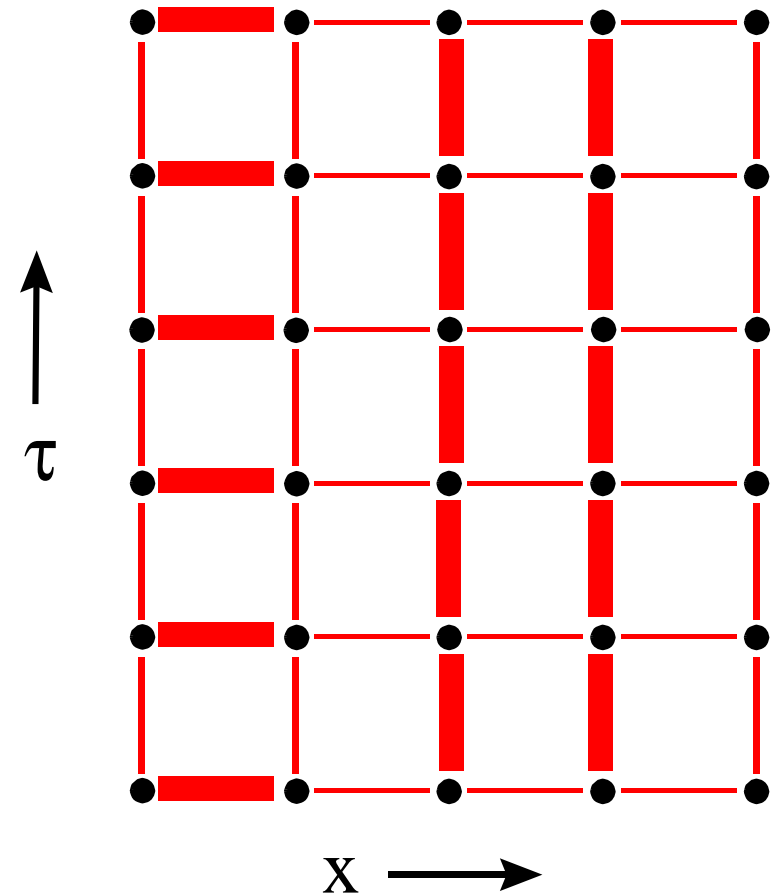


# Disorder and quantum phase transitions

## Disorder is quenched:

- impurities are time-independent
- disorder is **perfectly correlated** in imaginary time direction

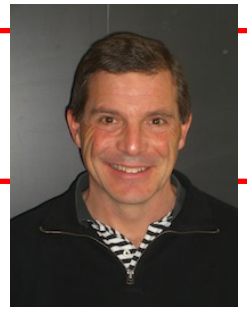
⇒ correlations **increase** the effects of disorder ("it is harder to average out fluctuations")



Disorder generically has stronger effects on quantum phase transitions than on classical transitions



# Random transverse-field Ising model

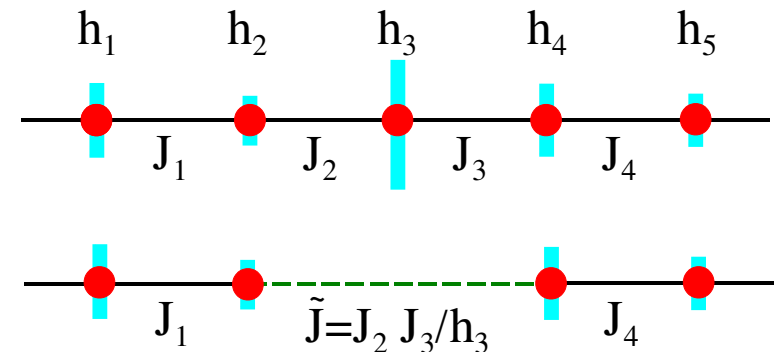


$$H = - \sum_{\langle i,j \rangle} J_{ij} \sigma_i^z \sigma_j^z - \sum_i h_i \sigma_i^x$$

nearest neighbor interactions  $J_{ij}$  and transverse fields  $h_i$  both random

## Strong-disorder renormalization group:

- Ma, Dasgupta, Hu (1979), Fisher (1992, 1995)
- in each step, integrate out **largest** of all  $J_{ij}$ ,  $h_i$
- cluster aggregation/annihilation process
- exact in the limit of large disorder

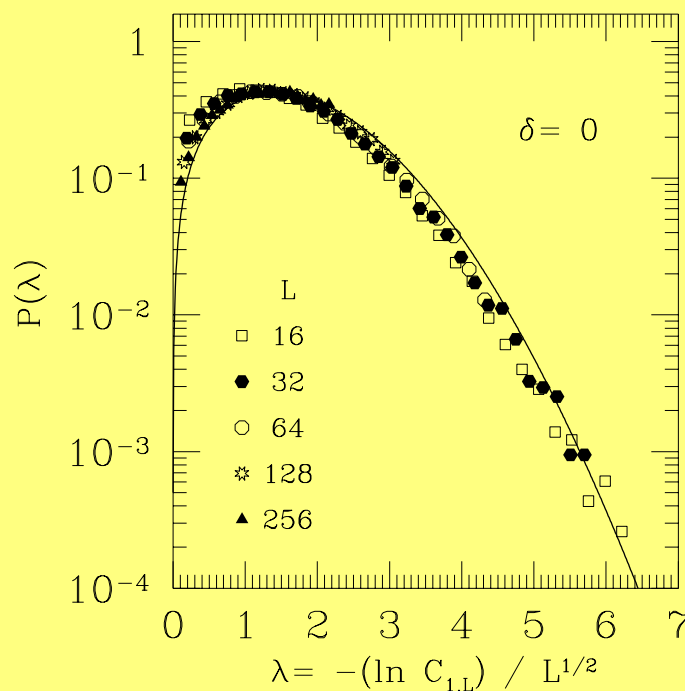
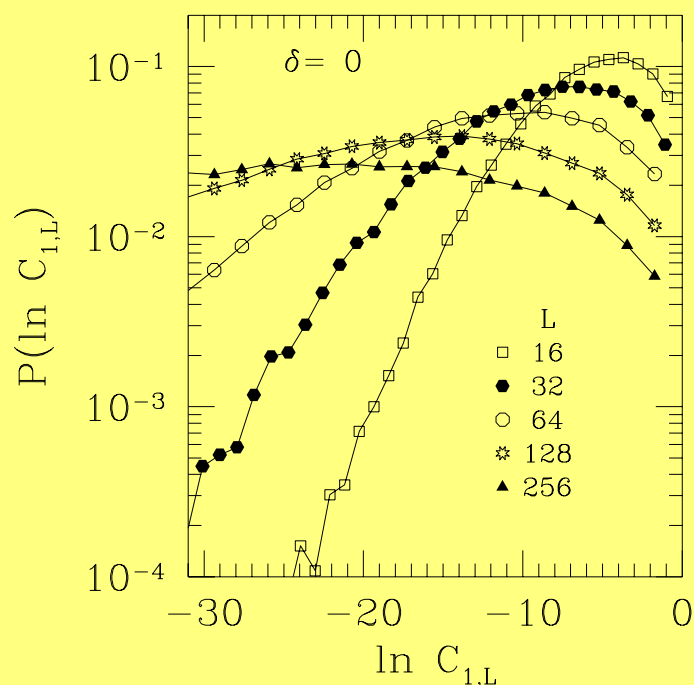


## Analytical solution in 1+1 dimensions:

- flow equations for entire probability distributions  $P(J)$ ,  $R(h)$
- under renormalization, relative width of the distributions **diverges**  
 $\Rightarrow$  disorder **increases without limit**

# Infinite-disorder critical point

- distributions of macroscopic observables become infinitely broad
- **average** and **typical** values drastically different  
correlations:  $G_{av} \sim r^{-\eta}$  ,  $-\log G_{typ} \sim r^{\psi}$
- averages dominated by **rare events**
- **extremely slow** dynamics  $\log \xi_{\tau} \sim \xi^{\mu}$  (**activated dynamical scaling**)

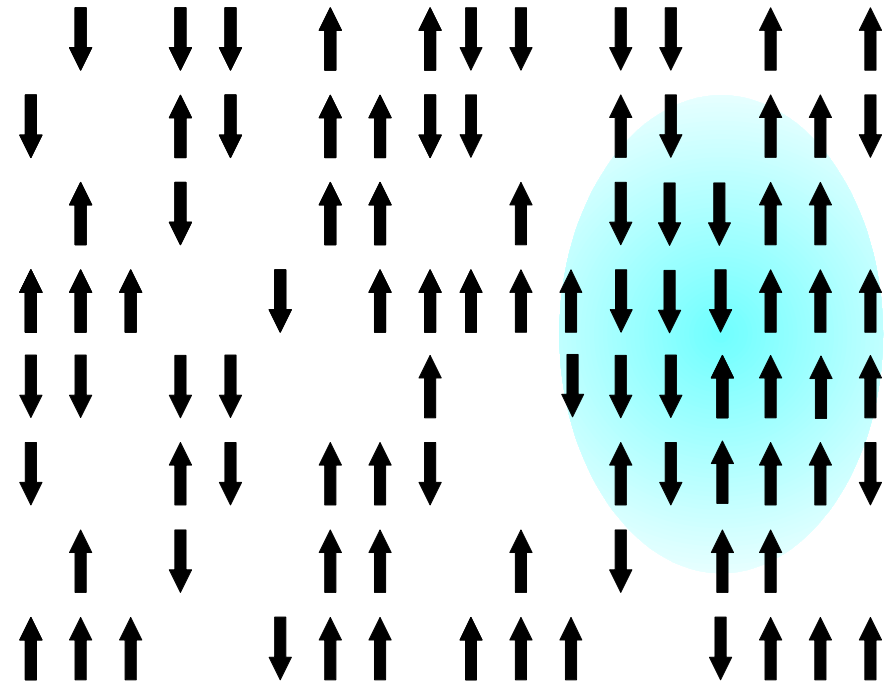


Probability distribution of end-to-end correlations in a random quantum Ising chain  
(Fisher + Young 98)

- 
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# Rare regions in a classical dilute ferromagnet

- critical temperature  $T_c$  is **reduced** compared to clean value  $T_{c0}$
- for  $T_c < T < T_{c0}$ :  
no global order but **local order** on **rare regions** devoid of impurities
- rare region probability **exponentially small**  
 $p(L) \sim e^{-cL^d}$

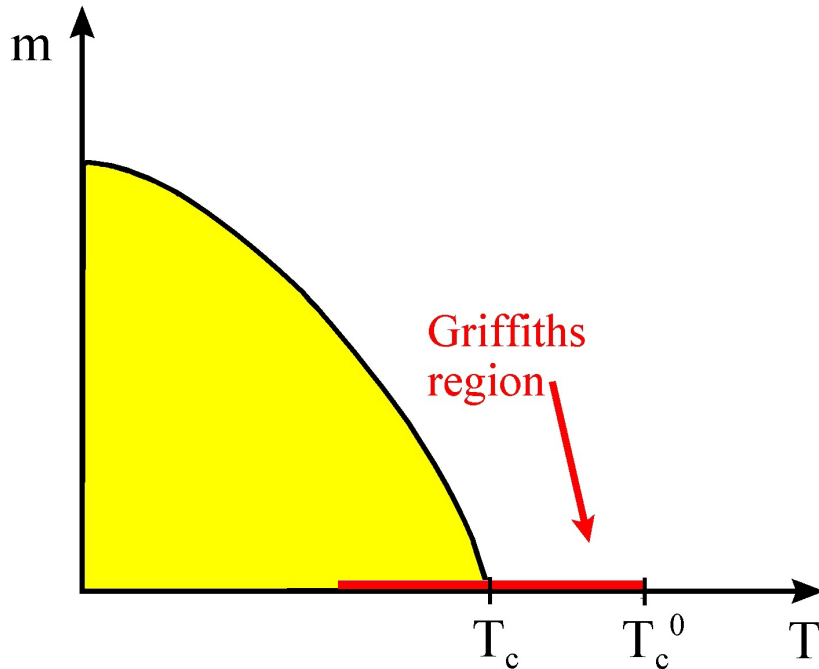


## Thermodynamics of rare regions

- rare regions cannot order statically but act as large **superspins**
- very slow** dynamics, **enhanced** thermodynamic response

Can rare regions dominate thermodynamics of the entire system?

# Griffiths region or Griffiths “phase”



## Griffiths:

rare regions lead to **singular free energy** everywhere in the interval  $T_c < T < T_{c0}$

## Rare region susceptibility:

- susceptibility of single RR:  $\chi \lesssim L^{2d}/T$
- sum over all RRs:

$$\chi_{RR} \sim \int dL e^{-cL^d} L^{2d}$$

- essential singularity
- large regions make negligible contribution

**In generic classical systems:**

**Thermodynamic Griffiths effects are weak and essentially unobservable**

Long-time dynamics can be dominated by rare regions

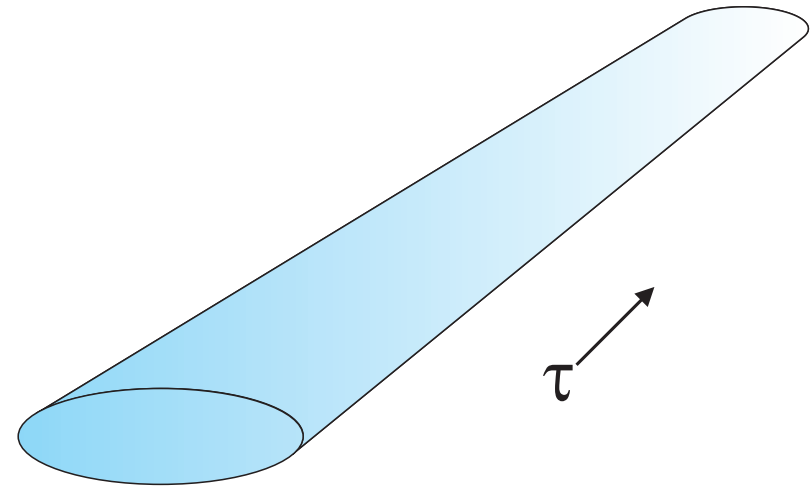


# Quantum Griffiths effects

## Quantum phase transitions:

- rare regions are finite in space but **infinite in imaginary time**
- fluctuations **even slower** than in classical case

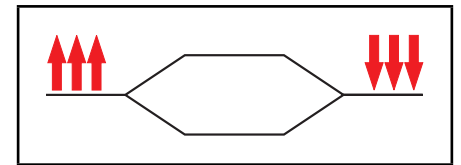
### Griffiths singularities enhanced



rare region at a quantum phase transition

## Transverse-field Ising systems:

- susceptibility of rare region:  $\chi_{loc} \sim \Delta^{-1} \sim e^{aL^d}$   
 $\chi_{RR} \sim \int dL e^{-cL^d} e^{aL^d}$  can **diverge** inside Griffiths region



- power-law **quantum Griffiths singularities**

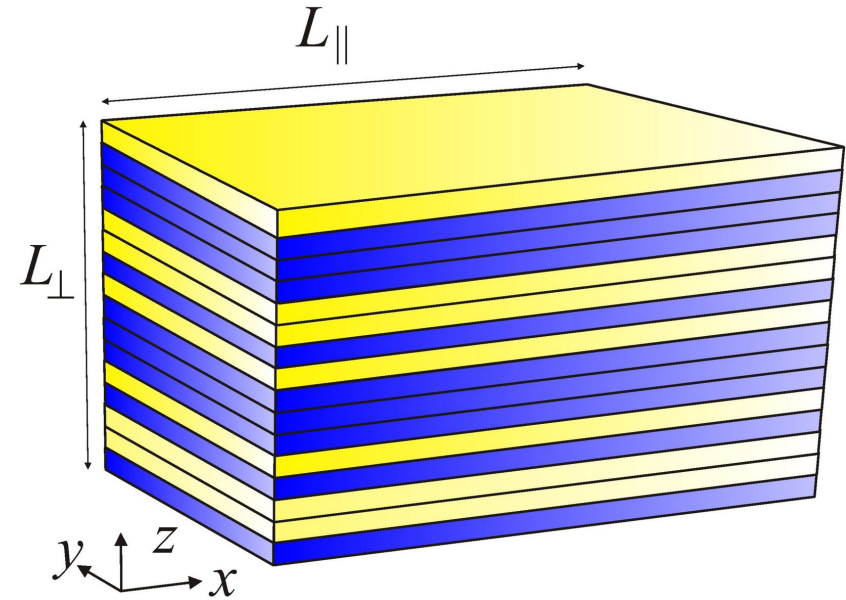
susceptibility:  $\chi_{RR} \sim T^{d/z'-1}$ ,      specific heat:  $C_{RR} \sim T^{d/z'}$

$z'$  is **continuously varying** Griffiths dynamical exponent, **diverges** at criticality

# Smeared phase transitions

## Randomly layered classical magnet:

- random layers of two different ferromagnets
  - rare regions are **thick 2d slabs** of the material with higher  $T_c$
  - 2d (Ising) magnets have **true phase transition**
- ⇒ global magnetization develops **gradually** as rare regions order **independently**



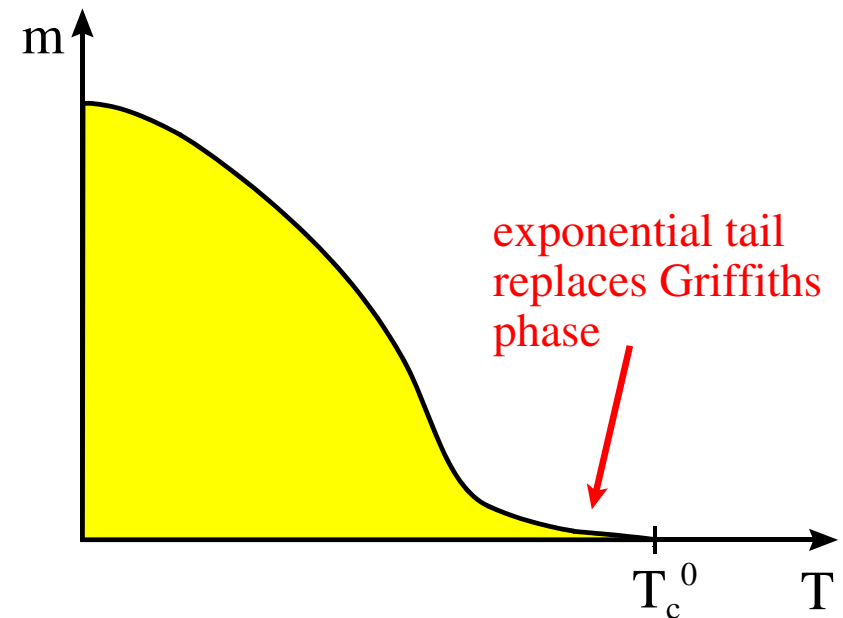
global phase transition is smeared by disorder

## Smeared quantum phase transitions:

- if isolated rare region develops **static order parameter** ⇒ transition **smeared**
- **example:** itinerant Ising magnet (order parameter **damped** by coupling to electrons, this prevents rare regions from tunneling)

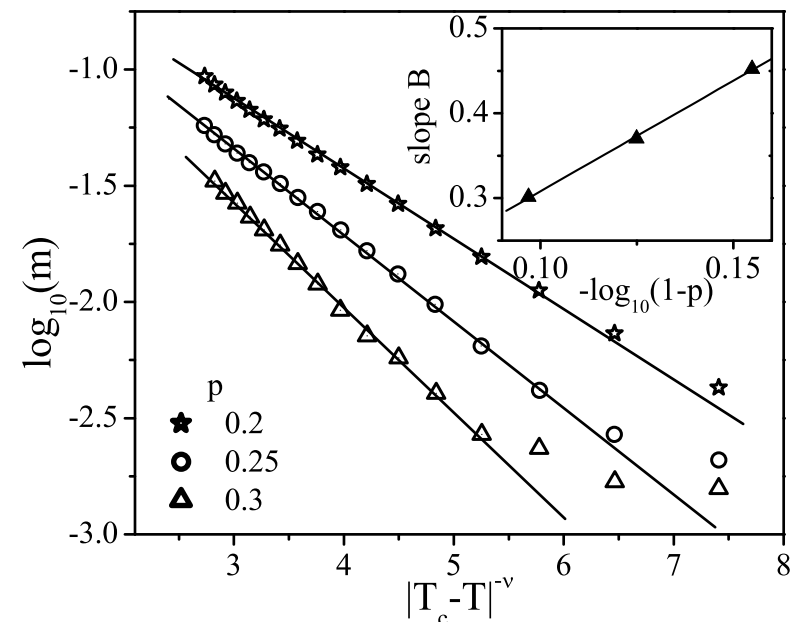
# Magnetization tail of smeared transition

- tail produced by largest rare regions (thickest slabs)
- slab transition temperature  $T_c(L) < T_c^0$  ( $T_c^0$  = higher of the two bulk  $T_c$ )
- **finite size scaling:**  $|T_c(L) - T_c^0| \sim L^{-\phi}$  ( $\phi$  = clean shift exponent)
- **probability** for slab devoid of weak planes:  $w \sim e^{-cL}$



**Magnetization tail for  $T \rightarrow T_c^0 -$**

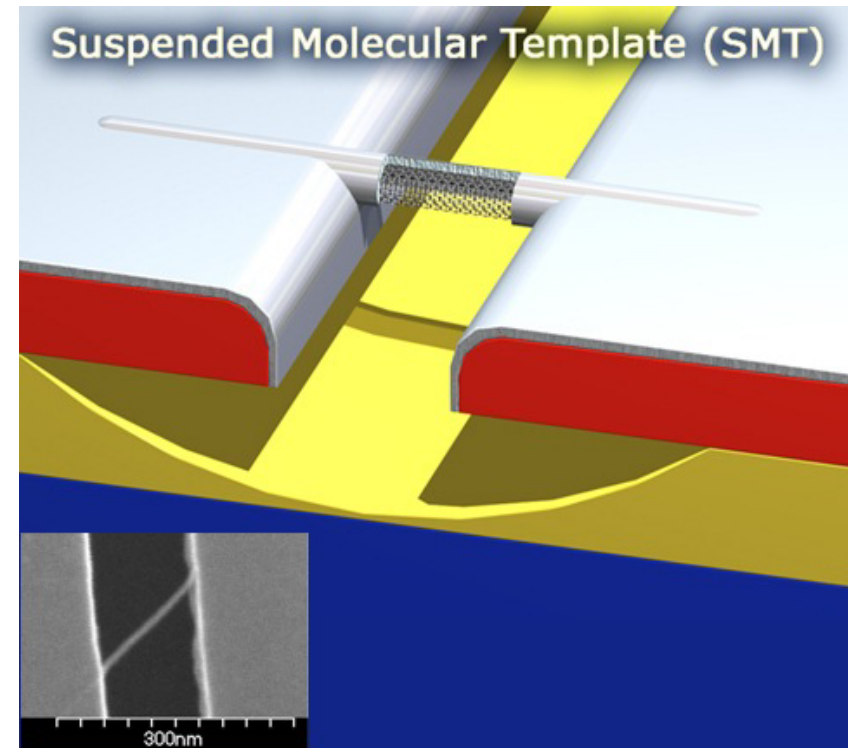
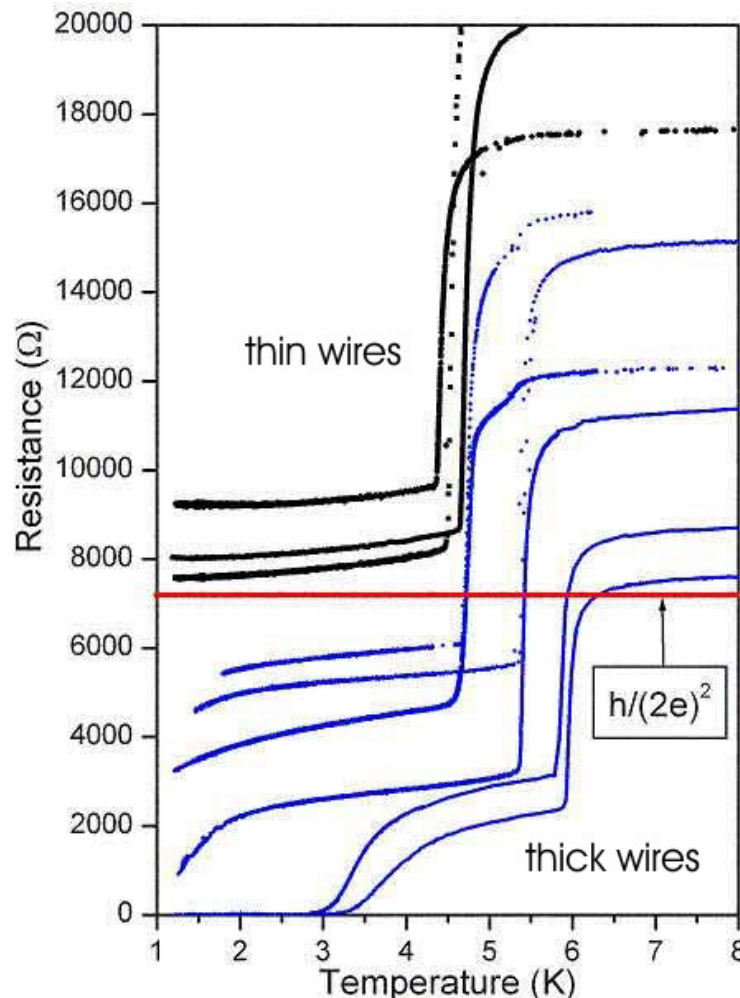
$$m(T) \sim \exp(-B |T - T_c^0|^{-1/\phi})$$



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# Superconductor-metal quantum phase transition in nanowires

- ultrathin MoGe wires (width  $\sim 10$  nm)
- molecular templating using a single carbon nanotube [Bezryadin group, UIUC]



- pair breaking by surface magnetic impurities
- creates **disorder** and **dissipation**

superconductor-metal QPT as  
function of wire thickness



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# Quantum Landau-Ginzburg-Wilson theory

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- number of transport channels (states transverse to wire) is large,  $N_{\perp} \gg 1$   
 $\Rightarrow$  motion of (unpaired) electrons is **three-dimensional**
- wire width  $\approx 10\text{nm} \sim$  coherence length, wire length  $\approx 500\text{nm}$   
 $\Rightarrow$  superconducting critical fluctuations are **one-dimensional**

Free energy functional:

$$S = T \sum_{\mathbf{q}, \omega_n} \left( r + \xi_0^2 \mathbf{q}^2 + \gamma |\omega_n| \right) |\varphi(\mathbf{q}, \omega_n)|^2 + \frac{u}{2N} \int d^d x d\tau \varphi^4(\mathbf{x}, \tau)$$

To apply strong-disorder RG, discretize space:

$$S = T \sum_{i, \omega_n} \left( \epsilon_i + \gamma_i |\omega_n| \right) |\phi_i(\omega_n)|^2 - T \sum_{i, \omega_n} J_i \phi_i(-\omega_n) \phi_{i+1}(\omega_n)$$

$\Rightarrow$  chain of coupled superconducting grains, coupled by Josephson interactions

$\Rightarrow$  disorder:  $\epsilon_i$ ,  $\gamma_i$ ,  $J_i$  random variables

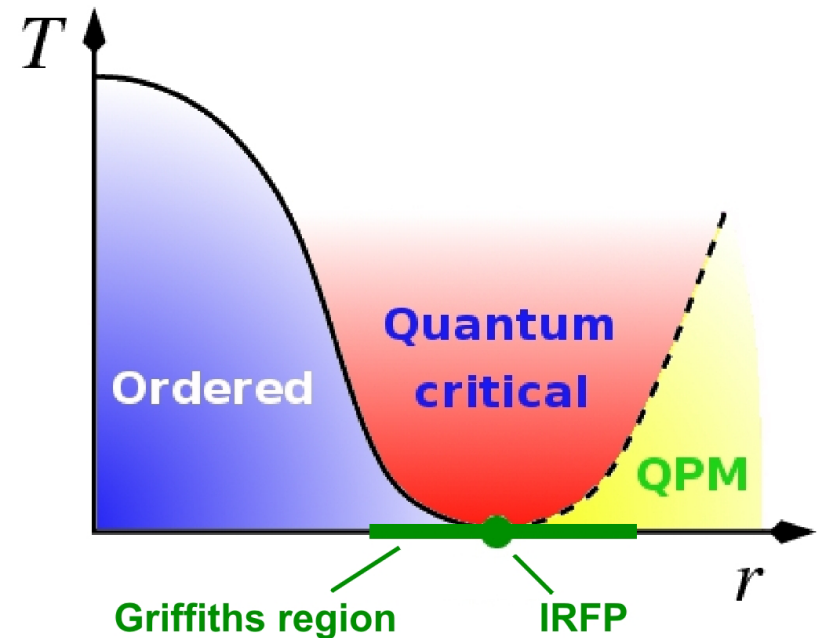
# Strong-disorder renormalization group

## Competing local energies:

- local “**energy gaps**”  $\epsilon_i$ , favoring normal phase
- **bonds**  $J_i$  (Josephson couplings), favoring superconducting phase

## Infinite-randomness critical point:

- distributions  $P(J)$  and  $R(\epsilon)$  become **infinitely broad**
- universality class of random transverse-field Ising model
- critical exponents known **exactly** in 1D
- **activated** dynamical scaling,  $\log \xi_t \sim \xi^\psi$  ( $\psi = 1/2$  in 1D)
- **higher dimensions**: same activated scaling scenario, exponents known numerically



$$T_c \sim \exp(-\text{const } |r|^{-\nu\psi})$$

# Critical behavior and Griffiths singularities

Specific heat:

$$C(r, T) = \left( \ln \frac{T_0}{T} \right)^{-d/\psi} \Phi_C \left( r^{\nu\psi} \ln \frac{T_0}{T} \right)$$

Griffiths phase:

$$C(r, T) \sim T^{d/z'}$$

Griffiths dynamical exponent  $z' \sim r^{-\nu\psi}$   
**diverges** at criticality

Ordered Griffiths phase:

long-range order but vanishing stiffness  
**anomalous elasticity:**

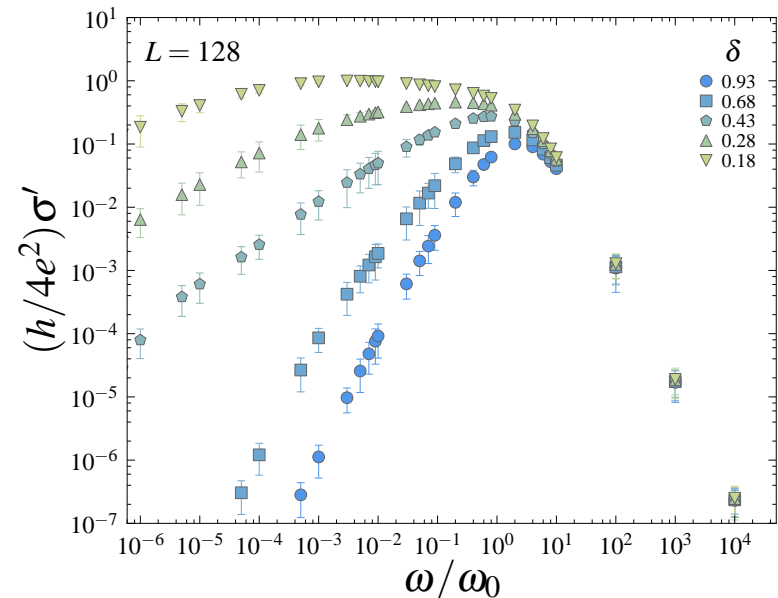
$$f(\Theta) - f(0) \sim \Theta^2 L^{-(1+z)} \quad (z > 1)$$

P. Mohan, P.M. Goldbart, R. Narayanan, J. Toner and T.V., PRL **105**, 085301 (2010)

Dynamical (optical) conductivity:

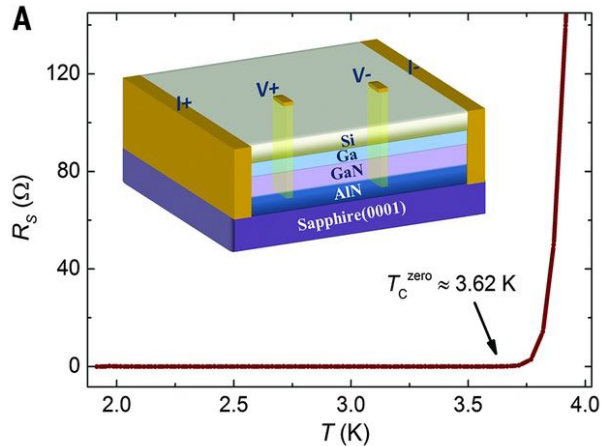
- calculated from **Kubo formula**
- include **vector potential** in SDRG

$$\sigma'(r, \omega) = \frac{4e^2}{h} \left( \ln \frac{\omega_0}{\omega} \right)^{1/\psi} \Phi_\sigma \left( r^{\nu\psi} \ln \frac{\omega_0}{\omega} \right)$$



A. Del Maestro, B. Rosenow, J.A. Hoyos and T.V., PRL **105**, 145702 (2010)

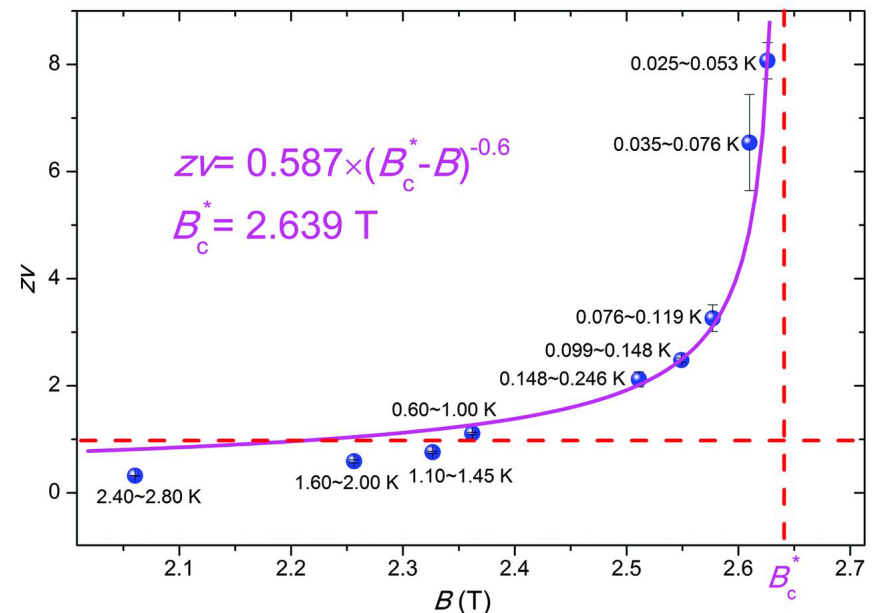
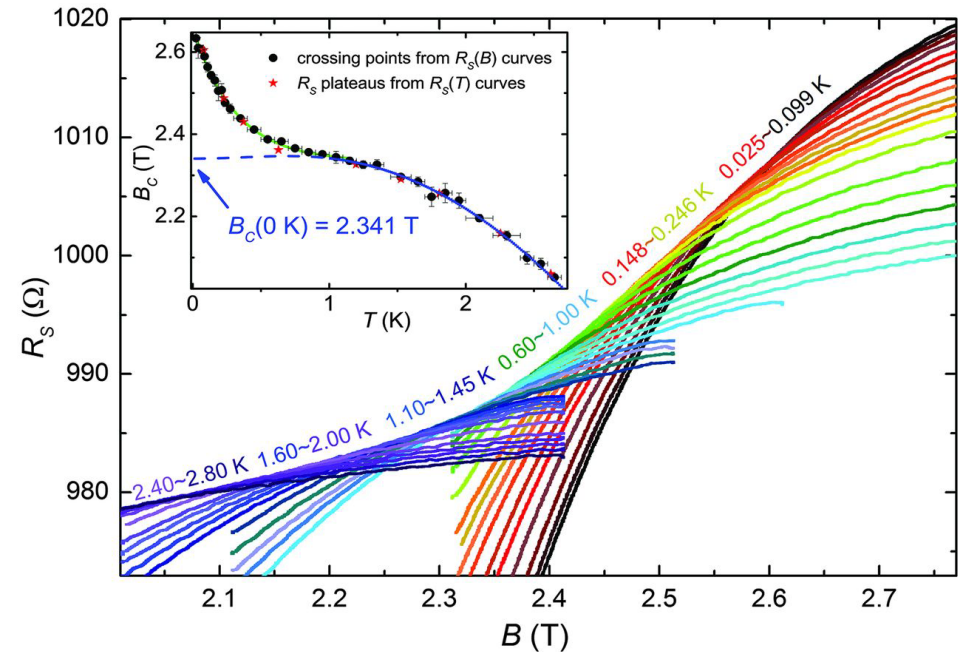
# Experiment: ultrathin Ga films



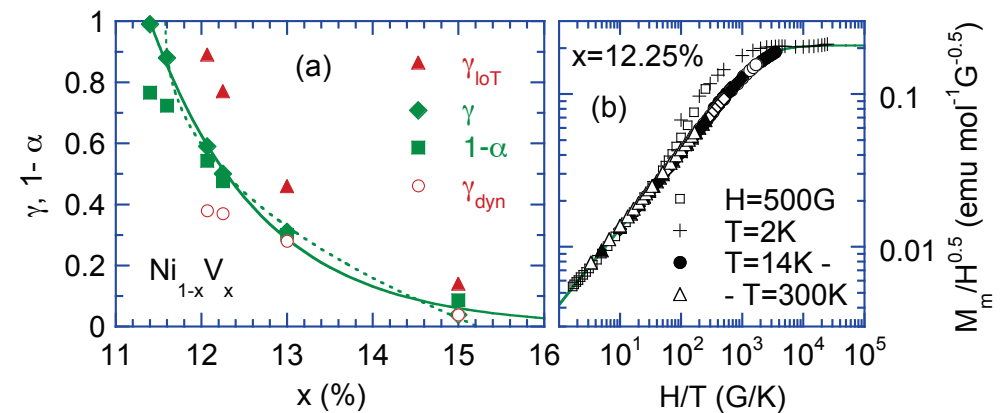
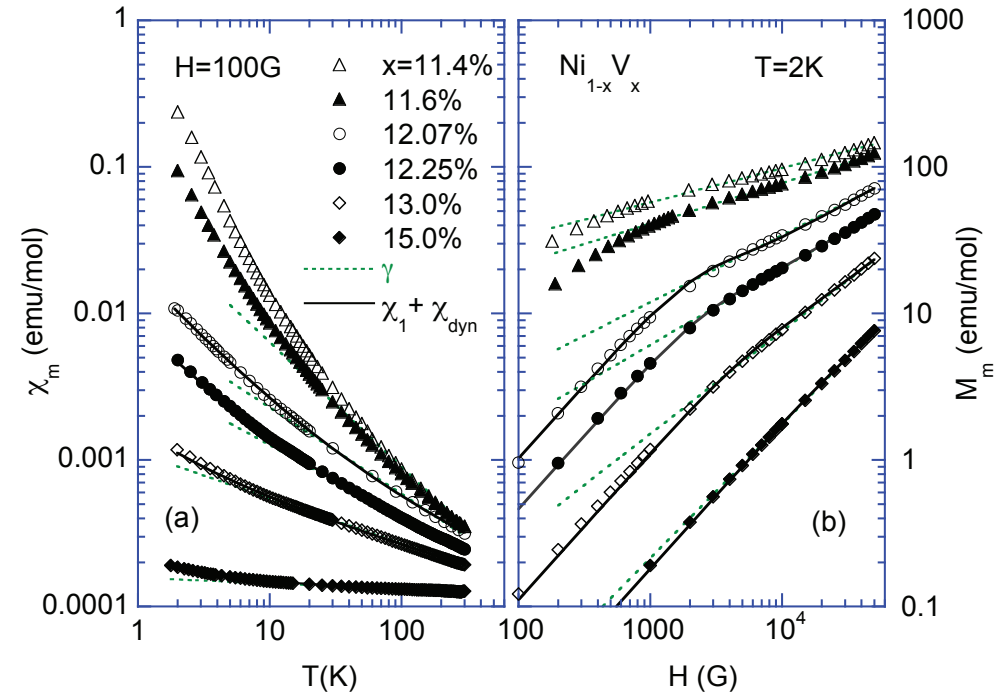
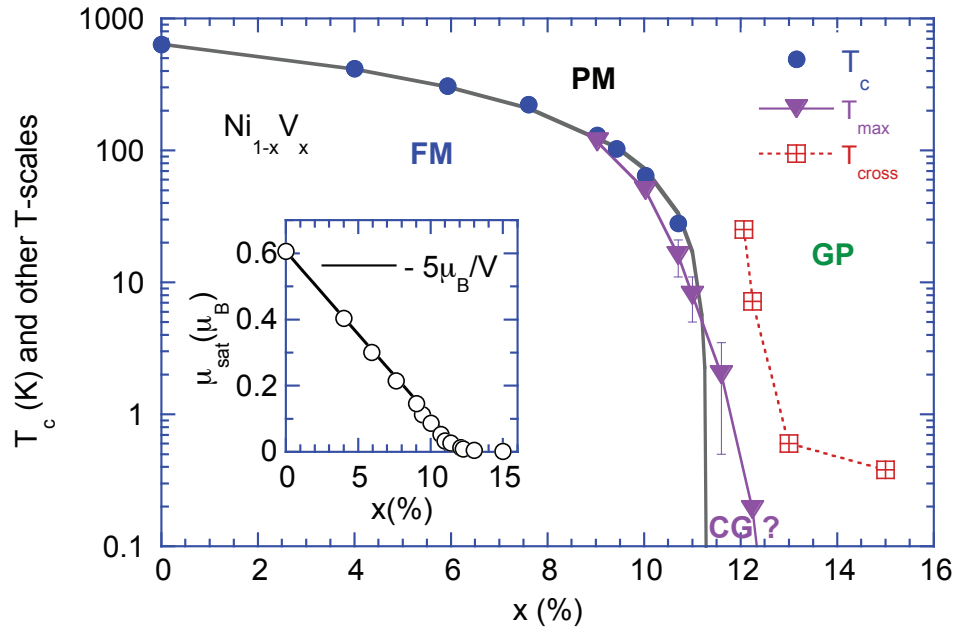
Xing et al., Science 350, 542 (2015)

- superconductivity below  $T_c \approx 3.62$  K, suppressed by magnetic field
- field-driven QPT well described by **2D infinite-randomness critical point**
- dynamical exponent **diverges** as  $z \sim |B - B_c|^{-\nu\psi}$  with  $\nu \approx 1.2$ ,  $\psi \approx 0.5$

Exponent values from MC simulations by T.V., A. Farquhar, J. Mast, PRE **79**, 011111 (2009)



# Ferromagnetic Griffiths singularities in $\text{Ni}_{1-x}\text{V}_x$



S. Ubaid-Kassis, T. V., A. Schroeder, PRL **104**, 066402 (2010)

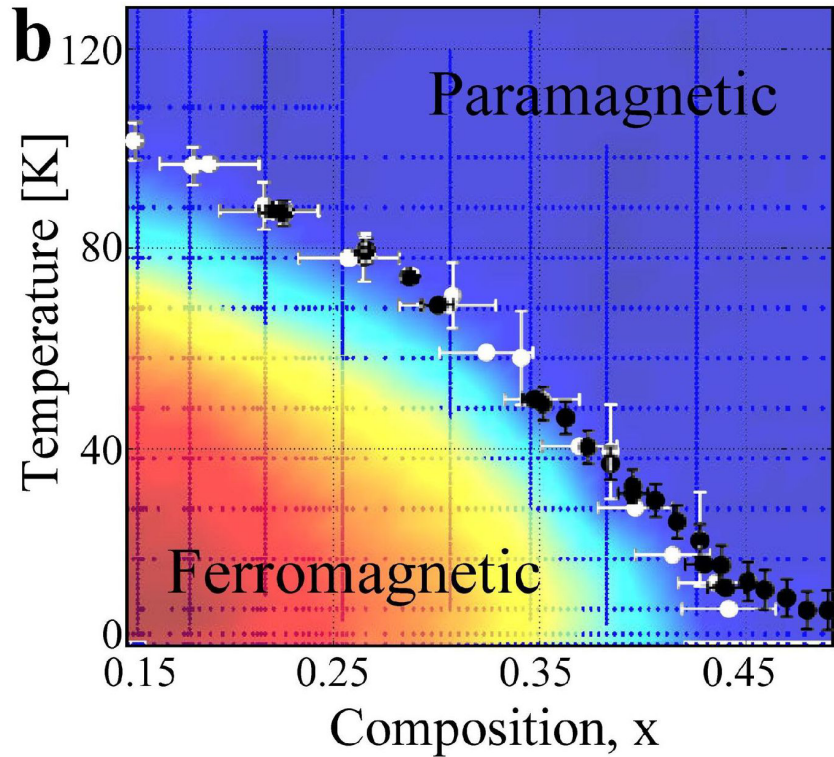
A. Schroeder, S. Ubaid-Kassis, T.V., JPCM **23**, 094205 (2011)

D. Nozadze + T.V., PRB **85**, 174202 (2012)

R. Wang et al., PRL **118**, 267202 (2017)



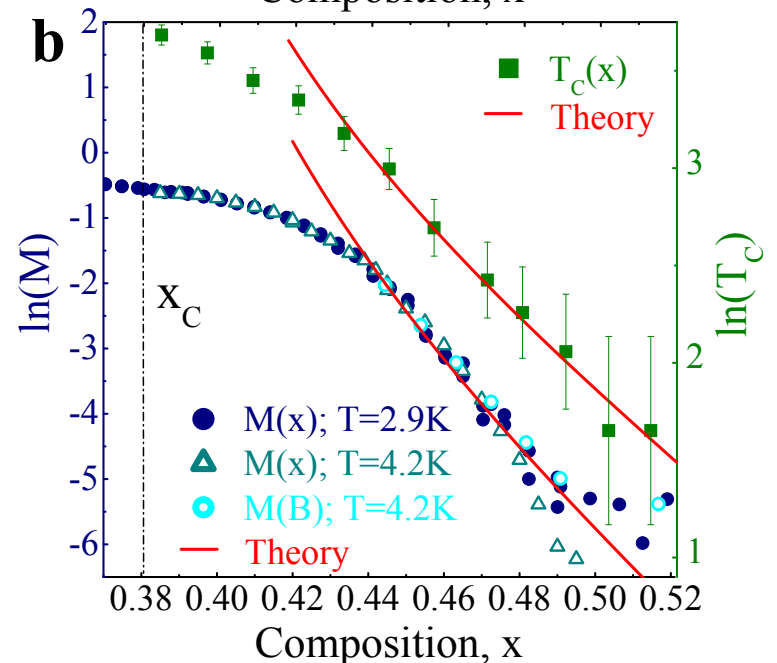
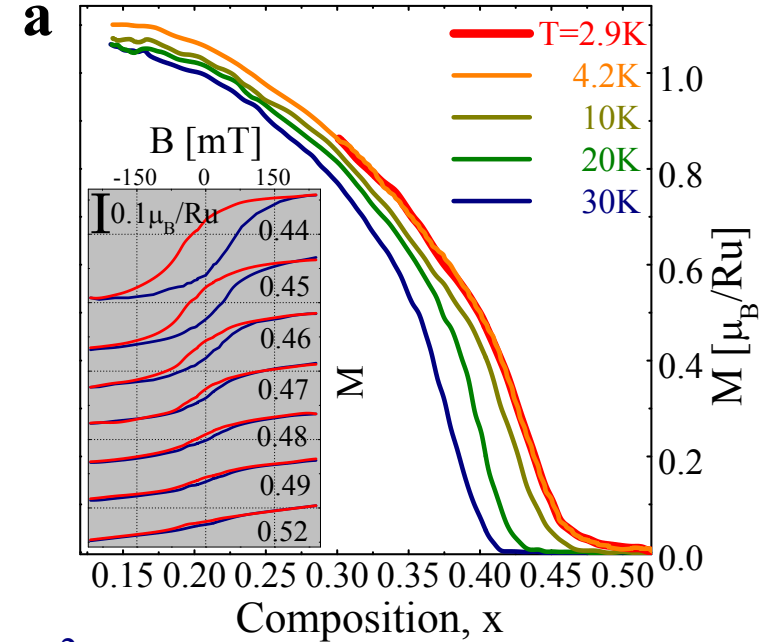
# Smeared quantum phase transition in $\text{Sr}_{1-x}\text{Ca}_x\text{RuO}_3$



**Magnetization and  $T_c$  in tail:**

$$M, T_c \sim \exp \left[ -C \frac{(x - x_c^0)^{2-d/\phi}}{x(1-x)} \right]$$

L. Demkó et al, PRL **108**, 185701 (2012)  
 F. Hrahsheh et al., PRB **83**, 224402 (2011)  
 C. Svoboda et al., EPL **97**, 20007 (2012)



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# Disorder at phase transitions: two frameworks

- fate of average disorder strength under coarse graining
- importance of rare regions and strength of Griffiths singularities

## Recently:

- general relation between **Harris criterion and rare region physics**  
T.V. + J.A. Hoyos, Phys. Rev. Lett. **112**, 075702 (2014), Phys. Rev. E **90**, 012139 (2014)
- **below**  $d_c^+$ , same inequality,  $d\nu > 2$ , governs relevance or irrelevance of disorder and fate of the Griffiths singularities

Class	RR dimension	Subclass	Harris criterion	Griffiths effects	Critical behavior of disordered system
A	$d_{RR} < d_c^-$	A1	$d\nu > 2$	weak exponential	clean
		A2	$d\nu < 2$	weak exponential	conventional finite disorder
B	$d_{RR} = d_c^-$	B1	$d\nu > 2$	power law, $z'$ remains finite	clean
		B2	$d\nu < 2$	power law, $z'$ diverges	strong or infinite randomness
C	$d_{RR} > d_c^-$			rare regions freeze	smeared transition

- **above**  $d_c^+$ , behavior is even richer
- relevance of rare regions depends on inequality  $d_c^+ \nu > 2$

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## Conclusions

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- even weak disorder can have surprisingly strong effects on a phase transition
- **rare regions** play a much bigger role at quantum phase transitions than at classical transitions
- classification of Griffiths phenomena according to **effective dimensionality** of rare regions
- experimental evidence for **quantum Griffiths singularities** and **smeared phase transitions** has been found at magnetic and superconducting quantum phase transitions in disordered metals

**Quenched disorder at quantum phase transitions leads to a rich variety of new effects and exotic phenomena**

Reviews: T.V., J. Phys. A **39**, R143 (2006); J. Low Temp. Phys. **161**, 299 (2010);  
AIP Conf. Proc. **1550**, 188 (2013); Ann. Rev. Cond. Mat. Phys., to appear 2018

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# Imaginary time and quantum to classical mapping

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**Classical partition function:** statics and dynamics decouple

$$Z = \int dp dq e^{-\beta H(p,q)} = \int dp e^{-\beta T(p)} \int dq e^{-\beta U(q)} \sim \int dq e^{-\beta U(q)}$$

**Quantum partition function:** statics and dynamics coupled

$$Z = \text{Tr} e^{-\beta \hat{H}} = \lim_{N \rightarrow \infty} (e^{-\beta \hat{T}/N} e^{-\beta \hat{U}/N})^N = \int D[q(\tau)] e^{S[q(\tau)]}$$

**imaginary time  $\tau$  acts as additional dimension  
at  $T = 0$ , the extension in this direction becomes infinite**

## Caveats:

- mapping holds for thermodynamics only
- resulting classical system can be unusual and anisotropic ( $z \neq 1$ )
- if quantum action is not real, extra complications may arise, e.g., Berry phases

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## Strong-disorder renormalization group

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- introduced by Ma, Dasgupta, Hu (1979), further developed by Fisher (1992, 1995)
- **asymptotically exact** if disorder distribution becomes broad under RG

**Basic idea: Successively integrate out the local high-energy modes and renormalize the remaining degrees of freedom.**

**Discretized large- $N$  action:** ( $\epsilon_i$ ,  $\gamma_i$ ,  $J_i$ : random variables)

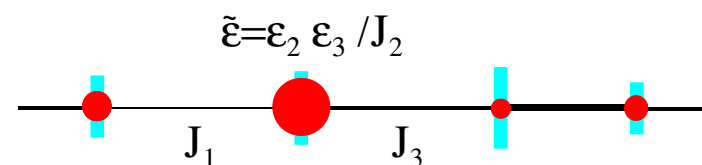
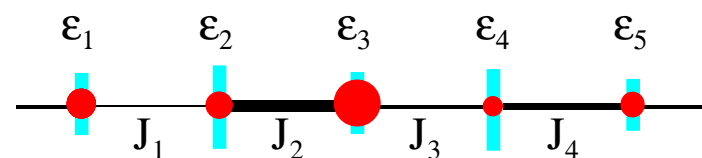
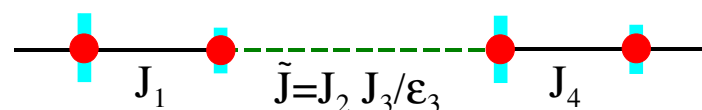
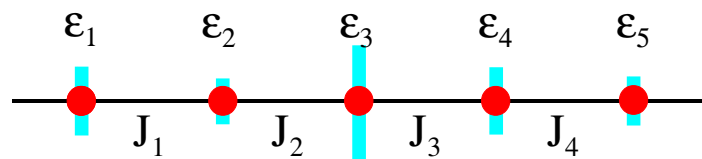
$$S = T \sum_{i, \omega_n} (\epsilon_i + \gamma_i |\omega_n|) |\phi_i(\omega_n)|^2 - T \sum_{i, \omega_n} J_i \phi_i(-\omega_n) \phi_{i+1}(\omega_n)$$

the competing local energies are:

- **bonds (Josephson couplings)**  $J_i$ , favoring ordered phase
- **local “energy gaps”**  $\epsilon_i$ , favoring disordered phase

$\Rightarrow$  in each RG step, integrate out largest among all  $J_i$  and  $\epsilon_i$

# RG recursions and flow equations



if largest energy is a gap, e.g.,  $\epsilon_3 \gg J_2, J_3$ :

- site 3 is removed from the system
- bonds treated in 2nd order perturbation theory
- **renormalized bond**  $\tilde{J} = J_2 J_3 / \epsilon_3$

if largest energy is a bond, e.g.,  $J_2 \gg \epsilon_2, \epsilon_3$ :

- rotors of sites 2 and 3 are parallel
- replaced by single rotor, moment  $\tilde{\mu} = \mu_2 + \mu_3$
- **renormalized gap**  $\tilde{\epsilon} = \epsilon_2 \epsilon_3 / J_2$

**flow equations** for probability distributions  $P(J)$  and  $R(\epsilon)$

$$-\frac{\partial P}{\partial \Omega} = [P(\Omega) - R(\Omega)] P + R(\Omega) \int dJ_1 dJ_2 P(J_1) P(J_2) \delta \left( J - \frac{J_1 J_2}{\Omega} \right)$$

$$-\frac{\partial R}{\partial \Omega} = [R(\Omega) - P(\Omega)] R + P(\Omega) \int d\epsilon_1 d\epsilon_2 R(\epsilon_1) R(\epsilon_2) \delta \left( \epsilon - \frac{\epsilon_1 \epsilon_2}{\Omega} \right)$$

# Fixed points

If bare distributions do **not** overlap:

$\langle \ln \epsilon \rangle > \langle \ln J \rangle$ : no clusters formed – disordered phase

$\langle \ln \epsilon \rangle < \langle \ln J \rangle$ : all sites connected – ordered phase

If bare distributions **do** overlap:

$\langle \ln \epsilon \rangle > \langle \ln J \rangle$ : rare clusters – disordered Griffiths phase

$\langle \ln \epsilon \rangle < \langle \ln J \rangle$ : rare “holes” – ordered Griffiths phase

$\langle \ln \epsilon \rangle = \langle \ln J \rangle$ : cluster aggregation and decimation balance at all energies – **critical point**

$$\mathcal{P}(\zeta) = \frac{1}{\Gamma} e^{-\zeta/\Gamma}, \quad \mathcal{R}(\beta) = \frac{1}{\Gamma} e^{-\beta/\Gamma}$$

log. variables  $\zeta = \ln(\Omega/J)$ ,  $\beta = \ln(\Omega/\epsilon)$ ,  $\Gamma = \ln(\Omega_0/\Omega)$

**Distributions become infinitely broad**

$\Rightarrow$  **infinite-randomness critical point**

